

**Net Capital Balance**  
for the month of **31-JAN-21**  
of **M/s. Asad Mustafa Securities (Pvt.) Ltd.**

Submission Date 12-FEB-2021 12:32:18

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S.No.	Head of Account	Valuation Basis	Value in Pak Rupee
A	Description of Current Assets		
1	Cash in hand or in bank	As per book value	6,056,792
2	Trade Receivables	Book Value less those overdue for more than fourteen days	17,739
3	Investment in listed securities in the name of broker	Securities on the exposure list marked to market less 15% discount	37,274,302
4	Securities purchased for customers	Securities purchased for the customer and held by the broker where the payment has not been received within fourteen days	
5	Listed TFCs/ Corporate Bonds of not less than BBB grade assigned by a credit rating company in Pakistan	Marked to Market less 10% discount	
6	FIBs	Marked to Market less 5% discount	
7	Treasury Bill	At market value	
8	Any other current asset specified by the Commission	As per the valuation basis determined by the Commission	
9	Total Assets		43,348,833
B	Description of Current liabilities		
1	Trade payables	Book Value less those overdue for more than 30 days	5,297,250
2	Other liabilities	As classified under the generally accepted accounting principles	4,841,771
C	Total Liabilities		10,139,021
D	Net Capital Balance		33,209,812

**Net Capital Balance**  
for the month of **28-FEB-21**  
of **M/s. Asad Mustafa Securities (Pvt.) Ltd.**

Submission Date 12-MAR-2021 17:09:23

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S.No.	Head of Account	Valuation Basis	Value in Pak Rupee
A	Description of Current Assets		
1	Cash in hand or in bank	As per book value	2,490,820
2	Trade Receivables	Book Value less those overdue for more than fourteen days	317,069
3	Investment in listed securities in the name of broker	Securities on the exposure list marked to market less 15% discount	37,440,936
4	Securities purchased for customers	Securities purchased for the customer and held by the broker where the payment has not been received within fourteen days	
5	Listed TFCs/ Corporate Bonds of not less than BBB grade assigned by a credit rating company in Pakistan	Marked to Market less 10% discount	
6	FIBs	Marked to Market less 5% discount	
7	Treasury Bill	At market value	
8	Any other current asset specified by the Commission	As per the valuation basis determined by the Commission	
9	Total Assets		40,248,825
B	Description of Current liabilities		
1	Trade payables	Book Value less those overdue for more than 30 days	5,194,631
2	Other liabilities	As classified under the generally accepted accounting principles	2,631,230
C	Total Liabilities		7,825,861
D	Net Capital Balance		32,422,964

**Net Capital Balance**  
for the month of **31-MAR-21**  
of **M/s. Asad Mustafa Securities (Pvt.) Ltd.**

Submission Date 08-APR-2021 16:27:37

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S.No.	Head of Account	Valuation Basis	Value in Pak Rupee
A	Description of Current Assets		
1	Cash in hand or in bank	As per book value	4,934,915
2	Trade Receivables	Book Value less those overdue for more than fourteen days	53,930
3	Investment in listed securities in the name of broker	Securities on the exposure list marked to market less 15% discount	35,855,040
4	Securities purchased for customers	Securities purchased for the customer and held by the broker where the payment has not been received within fourteen days	
5	Listed TFCs/ Corporate Bonds of not less than BBB grade assigned by a credit rating company in Pakistan	Marked to Market less 10% discount	
6	FIBs	Marked to Market less 5% discount	
7	Treasury Bill	At market value	
8	Any other current asset specified by the Commission	As per the valuation basis determined by the Commission	
9	Total Assets		40,843,885
B	Description of Current liabilities		
1	Trade payables	Book Value less those overdue for more than 30 days	1,636,858
2	Other liabilities	As classified under the generally accepted accounting principles	2,768,124
C	Total Liabilities		4,404,982
D	Net Capital Balance		36,438,903

**Net Capital Balance**  
for the month of **30-APR-21**  
of **M/s. Asad Mustafa Securities (Pvt.) Ltd.**

Submission Date 06-MAY-2021 16:04:30

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S.No.	Head of Account	Valuation Basis	Value in Pak Rupee
A	Description of Current Assets		
1	Cash in hand or in bank	As per book value	2,022,602
2	Trade Receivables	Book Value less those overdue for more than fourteen days	1,112,265
3	Investment in listed securities in the name of broker	Securities on the exposure list marked to market less 15% discount	39,853,100
4	Securities purchased for customers	Securities purchased for the customer and held by the broker where the payment has not been received within fourteen days	
5	Listed TFCs/ Corporate Bonds of not less than BBB grade assigned by a credit rating company in Pakistan	Marked to Market less 10% discount	
6	FIBs	Marked to Market less 5% discount	
7	Treasury Bill	At market value	
8	Any other current asset specified by the Commission	As per the valuation basis determined by the Commission	
9	Total Assets		42,987,967
B	Description of Current liabilities		
1	Trade payables	Book Value less those overdue for more than 30 days	3,980,993
2	Other liabilities	As classified under the generally accepted accounting principles	1,596,933
C	Total Liabilities		5,577,926
D	Net Capital Balance		37,410,041

**Net Capital Balance**  
for the month of **31-MAY-21**  
of **M/s. Asad Mustafa Securities (Pvt.) Ltd.**

Submission Date 14-JUN-2021 15:55:06

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S.No.	Head of Account	Valuation Basis	Value in Pak Rupee
A	Description of Current Assets		
1	Cash in hand or in bank	As per book value	3,050,852
2	Trade Receivables	Book Value less those overdue for more than fourteen days	166,220
3	Investment in listed securities in the name of broker	Securities on the exposure list marked to market less 15% discount	42,375,900
4	Securities purchased for customers	Securities purchased for the customer and held by the broker where the payment has not been received within fourteen days	
5	Listed TFCs/ Corporate Bonds of not less than BBB grade assigned by a credit rating company in Pakistan	Marked to Market less 10% discount	
6	FIBs	Marked to Market less 5% discount	
7	Treasury Bill	At market value	
8	Any other current asset specified by the Commission	As per the valuation basis determined by the Commission	
9	Total Assets		45,592,972
B	Description of Current liabilities		
1	Trade payables	Book Value less those overdue for more than 30 days	2,331,924
2	Other liabilities	As classified under the generally accepted accounting principles	2,745,183
C	Total Liabilities		5,077,107
D	Net Capital Balance		40,515,865

**Net Capital Balance**  
for the month of **30-JUN-21**  
of **M/s. Asad Mustafa Securities (Pvt.) Ltd.**

Submission Date 13-JUL-2021 17:02:47

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S.No.	Head of Account	Valuation Basis	Value in Pak Rupee
A	Description of Current Assets		
1	Cash in hand or in bank	As per book value	5,948,340
2	Trade Receivables	Book Value less those overdue for more than fourteen days	5,549
3	Investment in listed securities in the name of broker	Securities on the exposure list marked to market less 15% discount	41,810,650
4	Securities purchased for customers	Securities purchased for the customer and held by the broker where the payment has not been received within fourteen days	
5	Listed TFCs/ Corporate Bonds of not less than BBB grade assigned by a credit rating company in Pakistan	Marked to Market less 10% discount	
6	FIBs	Marked to Market less 5% discount	
7	Treasury Bill	At market value	
8	Any other current asset specified by the Commission	As per the valuation basis determined by the Commission	
9	Total Assets		47,764,539
B	Description of Current liabilities		
1	Trade payables	Book Value less those overdue for more than 30 days	2,233,342
2	Other liabilities	As classified under the generally accepted accounting principles	2,815,764
C	Total Liabilities		5,049,106
D	Net Capital Balance		42,715,433

**Net Capital Balance**  
for the month of **31-JUL-21**  
of **M/s. Asad Mustafa Securities (Pvt.) Ltd.**

Submission Date 13-AUG-2021 15:51:17

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S.No.	Head of Account	Valuation Basis	Value in Pak Rupee
A	Description of Current Assets		
1	Cash in hand or in bank	As per book value	1,172,117
2	Trade Receivables	Book Value less those overdue for more than fourteen days	589,144
3	Investment in listed securities in the name of broker	Securities on the exposure list marked to market less 15% discount	48,630,336
4	Securities purchased for customers	Securities purchased for the customer and held by the broker where the payment has not been received within fourteen days	
5	Listed TFCs/ Corporate Bonds of not less than BBB grade assigned by a credit rating company in Pakistan	Marked to Market less 10% discount	
6	FIBs	Marked to Market less 5% discount	
7	Treasury Bill	At market value	
8	Any other current asset specified by the Commission	As per the valuation basis determined by the Commission	
9	Total Assets		50,391,597
B	Description of Current liabilities		
1	Trade payables	Book Value less those overdue for more than 30 days	2,819,417
2	Other liabilities	As classified under the generally accepted accounting principles	1,714,634
C	Total Liabilities		4,534,051
D	Net Capital Balance		45,857,546

**Net Capital Balance**  
for the month of **30-SEP-21**  
of **M/s. Asad Mustafa Securities (Pvt.) Ltd.**

Submission Date 12-OCT-2021 17:26:00

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S.No.	Head of Account	Valuation Basis	Value in Pak Rupee
A	Description of Current Assets		
1	Cash in hand or in bank	As per book value	5,762,318
2	Trade Receivables	Book Value less those overdue for more than fourteen days	1,633,081
3	Investment in listed securities in the name of broker	Securities on the exposure list marked to market less 15% discount	44,922,976
4	Securities purchased for customers	Securities purchased for the customer and held by the broker where the payment has not been received within fourteen days	
5	Listed TFCs/ Corporate Bonds of not less than BBB grade assigned by a credit rating company in Pakistan	Marked to Market less 10% discount	
6	FIBs	Marked to Market less 5% discount	
7	Treasury Bill	At market value	
8	Any other current asset specified by the Commission	As per the valuation basis determined by the Commission	
9	Total Assets		52,318,375
B	Description of Current liabilities		
1	Trade payables	Book Value less those overdue for more than 30 days	2,023,270
2	Other liabilities	As classified under the generally accepted accounting principles	3,853,441
C	Total Liabilities		5,876,711
D	Net Capital Balance		46,441,664



**Net Capital Balance**  
for the month of **31-OCT-21**  
of **M/s. Asad Mustafa Securities (Pvt.) Ltd.**

Submission Date 12-NOV-2021 16:55:44

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S.No.	Head of Account	Valuation Basis	Value in Pak Rupee
A	Description of Current Assets		
1	Cash in hand or in bank	As per book value	4,562,017
2	Trade Receivables	Book Value less those overdue for more than fourteen days	57,983
3	Investment in listed securities in the name of broker	Securities on the exposure list marked to market less 15% discount	45,530,250
4	Securities purchased for customers	Securities purchased for the customer and held by the broker where the payment has not been received within fourteen days	
5	Listed TFCs/ Corporate Bonds of not less than BBB grade assigned by a credit rating company in Pakistan	Marked to Market less 10% discount	
6	FIBs	Marked to Market less 5% discount	
7	Treasury Bill	At market value	
8	Any other current asset specified by the Commission	As per the valuation basis determined by the Commission	
9	Total Assets		50,150,250
B	Description of Current liabilities		
1	Trade payables	Book Value less those overdue for more than 30 days	1,278,273
2	Other liabilities	As classified under the generally accepted accounting principles	2,355,490
C	Total Liabilities		3,633,763
D	Net Capital Balance		46,516,487

**Net Capital Balance**  
for the month of **30-NOV-21**  
of **M/s. Asad Mustafa Securities (Pvt.) Ltd.**

Submission Date 14-DEC-2021 15:05:55

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S.No.	Head of Account	Valuation Basis	Value in Pak Rupee
A	Description of Current Assets		
1	Cash in hand or in bank	As per book value	3,285,283
2	Trade Receivables	Book Value less those overdue for more than fourteen days	748,762
3	Investment in listed securities in the name of broker	Securities on the exposure list marked to market less 15% discount	50,170,400
4	Securities purchased for customers	Securities purchased for the customer and held by the broker where the payment has not been received within fourteen days	
5	Listed TFCs/ Corporate Bonds of not less than BBB grade assigned by a credit rating company in Pakistan	Marked to Market less 10% discount	
6	FIBs	Marked to Market less 5% discount	
7	Treasury Bill	At market value	
8	Any other current asset specified by the Commission	As per the valuation basis determined by the Commission	
9	Total Assets		54,204,445
B	Description of Current liabilities		
1	Trade payables	Book Value less those overdue for more than 30 days	1,156,765
2	Other liabilities	As classified under the generally accepted accounting principles	1,428,330
C	Total Liabilities		2,585,095
D	Net Capital Balance		51,619,350

**Net Capital Balance**  
for the month of **31-DEC-21**  
of **M/s. Asad Mustafa Securities (Pvt.) Ltd.**

Submission Date 13-JAN-2022 13:30:51

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S.No.	Head of Account	Valuation Basis	Value in Pak Rupee
A	Description of Current Assets		
1	Cash in hand or in bank	As per book value	3,269,085
2	Trade Receivables	Book Value less those overdue for more than fourteen days	137,595
3	Investment in listed securities in the name of broker	Securities on the exposure list marked to market less 15% discount	51,741,200
4	Securities purchased for customers	Securities purchased for the customer and held by the broker where the payment has not been received within fourteen days	
5	Listed TFCs/ Corporate Bonds of not less than BBB grade assigned by a credit rating company in Pakistan	Marked to Market less 10% discount	
6	FIBs	Marked to Market less 5% discount	
7	Treasury Bill	At market value	
8	Any other current asset specified by the Commission	As per the valuation basis determined by the Commission	
9	Total Assets		55,147,880
B	Description of Current liabilities		
1	Trade payables	Book Value less those overdue for more than 30 days	1,007,988
2	Other liabilities	As classified under the generally accepted accounting principles	1,778,995
C	Total Liabilities		2,786,983
D	Net Capital Balance		52,360,897