Schedule III [see regulation 6(4)]

Monthly statements of liquid capital with the Commission and the securities exchange ASAD MUSTAFA SECURITIES (SMC-PVT.) LTD.

Computation of Liquid Capital
As on 30.04.2017

Assets	S. No.	Head of Account	Value in Pak Rupees	Hair Cut /	Net Adjusted Value
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Cash and Bank balances		*** *			
Cash and Bank balances		vi. 100% haircut in the case of amount receivable form related parties.			
L Pank Palance proprietory accounts					
	1 10		2,695,857		2,695,857

1.10	ii. Bank balance-customer accounts	606,481		606,481
	iii. Cash in hand			
1.19 2. Liabili	Total Assets	40,251,885		30,727,285
L. LIADIII	Trade Payables			
2.1	i. Payable to exchanges and clearing house			
2.1	ii. Payable against leveraged market products			
	iii. Payable to customers	535,999		535,999
	Current Liabilities i. Statutory and regulatory dues			
	ii. Accruals and other payables	38,603		38,603
	iii. Short-term borrowings	5,287,675		5,287,675
	iv. Current portion of subordinated loans			
2.2	v. Current portion of long term liabilities			
	vi. Deferred Liabilities vii. Provision for bad debts			
	viii. Provision for taxation			
	ix. Other liabilities as per accounting principles and included in the financial statements			
	Non-Current Liabilities			
	i. Long-Term financing			
2.3	ii. Staff retirement benefits			
	iii. Other liabilities as per accounting principles and included in the financial statements			
	Subordinated Loans			
2.4	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted			
2.4				
2.5	ii. Subordinated loans which do not fulfill the conditions specified by SECP	F 0/2 277		F 0/2 277
2.5 Ranki	Total Liabilities	5,862,277		5,862,277
J. Kariki	Concentration in Margin Financing			
3.1	The amount calculated client-to- client basis by which any amount receivable from any of the financees			
	exceed 10% of the aggregate of amounts receivable from total financees.			
	Concentration in securites lending and borrowing		<u>l</u>	
	The amount by which the aggregate of:			
3.2	(i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securities is less than or equal to the subscription price; the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and			
3.3	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
	(b) in any other case: 12.5% of the net underwriting commitments			
	Negative equity of subsidiary			
3.4	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
	exceed the total liabilities of the subsidiary			
	Foreign exchange agreements and foreign currency positions	Т		
3.5	5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
	assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
	Repo adjustment		-	
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securites.			
2.7				
3.7	In the case of financee/seller the market value of underlying securities after applying haircut less the total			
3.7	In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut			
3.7	In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.7	In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. Concentrated proprietary positions			
3.7	In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the		2 225 752	2 225 752
	In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security .If the market of a security exceeds 51% of the proprietary position, then 10% of the		3,225,750	3,225,750
	In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security .If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security		3,225,750	3,225,750
	In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security .If the market of a security exceeds 51% of the proprietary position, then 10% of the		3,225,750	3,225,750
	In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security .If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security Opening Positions in futures and options		3,225,750	3,225,750

i	ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent				
	not already met				
	Short sell positions				
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts				
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.				
3.11	Total Ranking Liabilites	34,389,608	3,225,750	3,225,750	
Calculati	ons Summary of Liquid Capital			21,639,258	
(i) Adjust	ted value of Assets (serial number 1.19)				
(ii) Less:	Adjusted value of liabilities (serial number 2.5)				
. ,	Total ranking liabilities (series number 3.11)				
	mmission may issue guidelines and clarifications in respect of the treatment of any component of Liquid Capita	al including any			